

Introductory sub-table (I)(a)

Name of the Company: Universal Prime Aluminium Limited

Scrip Code, Name of the scrip, class of security: 504673, [BSE], Equity

Quarter ended: 30/09/2015

Partly paid-up shares:- No. of partly paid-up shares As a % of total no. of partly paid-up shares As a % of total no. of shares of the company

Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0

Outstanding convertible securities:- No. of outstanding securities As a % of total no. of outstanding convertible securities As a % of total no. of shares of the company, assuming full conversion of the convertible securities

Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0

Warrants:- No. of warrants As a % of total no. of warrants As a % of total no. of shares of the company, assuming full conversion of warrants

Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0

Total paid-up capital of the company, assuming full conversion of warrants and convertible securities 7967443 Shares of Rs.10/- each



Statement Showing Shareholding Pattern

Category code	Category of Shareholder	Number of Shareholders	Table (I)(a)		Total shareholding as a percentage of total number of shares		Number of shares	As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Shares Pledged or otherwise encumbered	As a percentage of (A+B+C)
			Total number of shares	Number of shares held in dematerialized form	As a percentage of (A+B)	As a percentage of (A+B+C)					
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	
(A)	Shareholding of Promoter and Indian										
1	Indian										
(a)	Individuals/ Hindu Undivided Family										
		3	1654163	1654163	20.76	20.76	0	0.00		0.00	
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00		0.00	
(c)	Bodies Corporate	6	2169615	2169615	27.23	27.23	0	0.00		0.00	
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00		0.00	
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00		0.00	
(e-i)					0.00	0.00				0.00	
(e-ii)											
	Sub Total(A)(1)	9	3823778	3823778	47.99	47.99	0			0.00	
2	Foreign										
a	Individuals (Non-Residents Individuals/ Foreign Individuals)	0	0	0	0.00	0.00				0.00	
b	Bodies Corporate	0	0	0	0.00	0.00				0.00	
c	Institutions	0	0	0	0.00	0.00				0.00	



d	Qualified Foreign Investor	0	0	0	0	0.00	0.00		0.00
e	Any Others(Specify)	0	0	0	0	0.00	0.00		0.00
e-i									
e-ii									
	Sub Total(A)(2)	0	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter and	9	3823778	3823778	47.99	47.99	47.99	0	0.00
(B)	Public shareholding								
1	Institutions	2	123800	119200	1.55	1.55			
(a)	Mutual Funds/ UTTI	2	2594	1944	0.03	0.03			
(b)	Financial Institutions / Banks								
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00			
(d)	Venture Capital Funds	0	0	0	0.00	0.00			
(e)	Insurance Companies	0	0	0	0.00	0.00			
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00			
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00			
(h)	Qualified Foreign Investor	0	0	0	0.00	0.00			
(i)	Any Other (specify)	0	0	0	0.00	0.00			
(i-ii)									
(i-ii)									
	Sub-Total (B)(1)	4	126394	121144	1.59	1.59			
B 2	Non-institutions								
(a)	Bodies Corporate	85	245684	68210	3.00	3.00			
(b)	Individuals	28354	3041334	816724	38.17	38.17			
I	Individuals -i: Individual shareholders holding nominal share capital up to Rs 1 lakh	18	727264	703071	9.13	9.13			
II	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.								



(c)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(d)	Any Other (specify)	0	0	0	0.00	0.00		
(d-i)	Non resident individual	8	2989	989	0.04	0.04		
(d-ii)								
	Sub-Total (B)(2)	28465	4017271	1588994	50.42	50.42		
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	28469	4143665	1710138	52.01	52.01		
	TOTAL (A)+(B)	28478	7967443	5533916	100.00	100.00		
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0	0.00		
2	Public	0	0	0	0	0.00		0
	Sub-Total (C)	0	0	0	0	0		0
	GRAND TOTAL (A)+(B)+(C)	28480	7967443	5533916		100.00		100
								0.00



ii	Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	18	727264	703071	9.13	9.13		
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(d)	Any Other (specify)	0	0	0	0.00	0.00		
(d-i)	Non resident individual	8	2989	989	0.04	0.04		
(d-ii)								
	Sub-Total (B)(2)	28465	4017271	1588994	50.42	50.42		
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	28469	4143665	1710138	52.01	52.01		
	TOTAL (A)+(B)	28480	7967443	5533916	100.00	100.00		
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group	0	0	0	0	0.00		
2	Public	0	0	0	0	0.00		
	Sub-Total (C)	0	0	0	0	0		
	GRAND TOTAL (A)+(B)+(C)	28480	7967443	5533916	100.00	100.00	100	0.00



Sr.No	Notes
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(D)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of Shares held			Encumbered shares (*)			Details of warrants			Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage (V)=(VI)/(III)*100	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class			
	(II)	(III)	(IV)	(V)	(VI)=(VI)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)		
1	PRAKASH KUMAR MOHTA	1472370	18.48		0.00	0.00		0		0		18.48	
2	MAITREYI KANDOI	99629	1.25		0.00	0.00		0		0		1.25	
3	JAYANTIKA JATIA	82164	1.03		0.00	0.00		0		0		1.03	
4	PRAJIBHA MANUFACTURING & MARKETING LTD.	948025	11.90		0.00	0.00		0		0		11.9	
5	PEE BEE STEEL INDUSTRIES LIMITED	718645	9.02		0.00	0.00		0		0		9.02	
6	AVADH MERCANTILE COMPANY LTD.	321483	4.03		0.00	0.00		0		0		4.03	
7	UNIVERSAL AUTOGRAFTS PVT. LTD	96228	1.21		0.00	0.00		0		0		1.21	
8	UNIVERSAL ENTERPRISES LIMITED	67340	0.85		0.00	0.00		0		0		0.85	
9	UNIQUE MANUFACTURING & MARKETING LTD.	17894	0.22		0.00	0.00		0		0		0.22	
	TOTAL	3823778	47.99	0	0.00	0.00	0	0	0	0		47.99	

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011



(D)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	PRAATIBHA KHAITAN	175271	2.20	0	0	0	0	2.2
2	CANARA ROBECCO MUTUAL FUND A/C	119200	1.50	0	0	0	0	1.5
3	P.P.PACKAGING PVT.LTD.	106760	1.34	0	0	0	0	1.34
4	HITESH RAMJI JAVERI	100101	1.26	0	0	0	0	1.26
	Jh-1 : RADHABAI RAMJI JAVERI		0.00					
	Jh-2 : HARSHA HITESH JAVERI		0.00					
5	BHUPENDRA V GANDHI	99131	1.24	0	0			1.24
	Jh-1 : SAROJ B GANDHI		0.00		0			
			0.00					
TOTAL		600463	7.54	0	0	0	0	7.54



(D)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares f.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1			0.00		0		0	
2			0.00		0		0	
3			0.00		0		0	
4			0.00		0		0	
5			0.00		0		0	
6			0.00		0		0	
7			0.00		0		0	
8			0.00		0		0	
9			0.00		0		0	
10			0.00		0		0	
TOTAL		0	0.00		0		0	0



(D)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above}
1			0.00
2			0.00
3			0.00
4			0.00
5			0.00
6			0.00
7			0.00
8			0.00
9			0.00
TOTAL		0	0.00



(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				
TOTAL		0	0	0.00



(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1				0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
TOTAL			0	0.00

For Universal Prime Aluminium Ltd.

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Authorised Signatory.